

# Multirate Signal Processing

Multirate : Changing the Sampling Rate of the Discrete Time Signal.

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# Need for Multirate

**Digital Audio** : Three different sampling rates are employed

- Broadcasting : 32 KHz
- Digital Compact Disc : 44.1 KHz
- Digital Audio Tape : 48 KHz

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## *Digital Video :*

- Luminance signal is sampled at 13.5 MHz
- Color difference signal is sampled at 6.75 MHz

## Sampling rate of

- NTSC Composite signal : 14.31818 MHz
- PAL Composite signal : 17.73447 MHz

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- *Over sampling A / D Converter :*

Sample the signal at much higher rate than Nyquist rate and then decimate (lower the sampling rate) it.

Eliminates the need of very sharp cut- off frequency antialiasing filter

## Digital Communication :

Trans multiplexers

Communication Receivers

## Biomedical :

Narrow band filter for Fetal ECG & EEG

## Speech Processing :

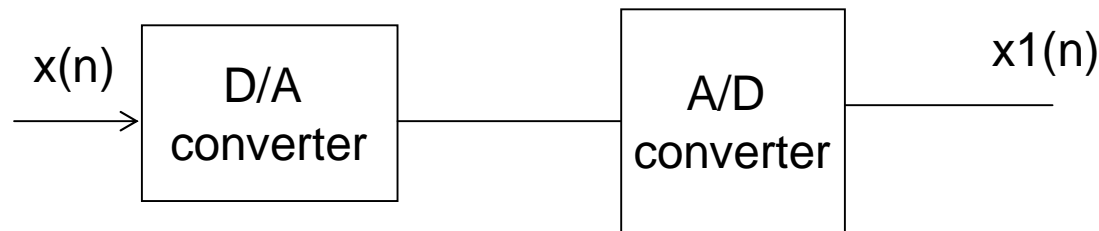
To reduce storage space and transmission rate

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The process of converting a signal from a given rate to a different rate is called **sampling rate conversion**.

Systems that employ multiple sampling rates in the processing of digital signals are called **multirate digital signal processing systems**.

# Can be accomplished in two ways:



Adv: New sampling rate can be arbitrarily selected

Disadv: Signal distortion is introduced by

-D/A converter in signal reconstruction

-Quantization effects in A/D converter

Changing the sampling rate in digital domain --Multirate

Fundamental Operations in multirate signal processing are

Decimation ( Down sampling )

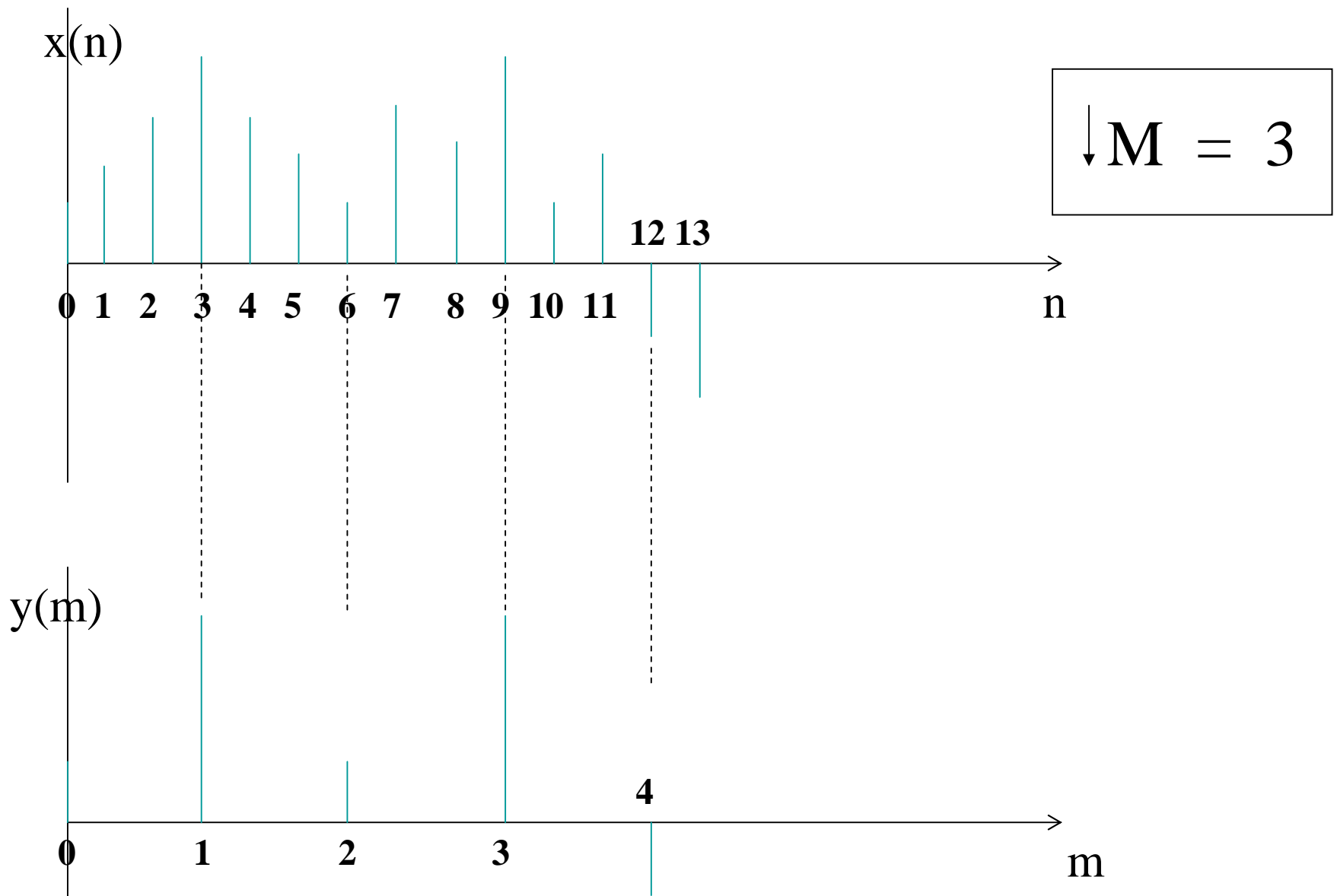
Interpolation ( Up Sampling )

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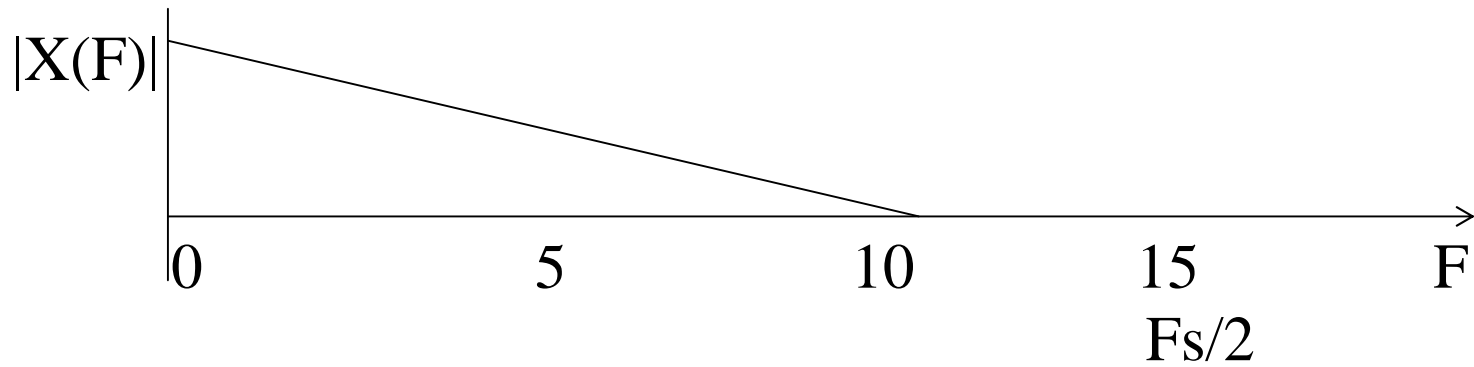
# DECIMATION

**Decimation** is the process of decreasing the sampling rate by a factor of  $M$  i.e. from  $F_s$  to  $F_s / M$

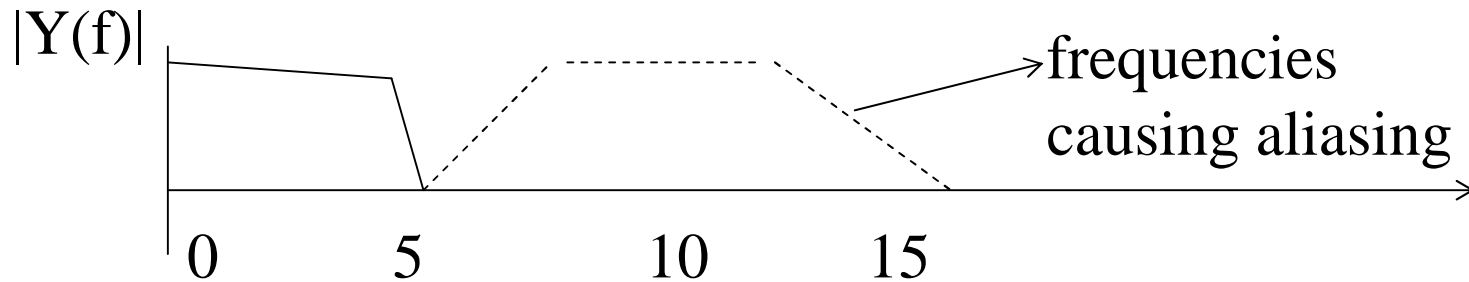
**Down sampling** : by a factor of  $M$  is achieved by discarding  $M-1$  samples for every  $M$  samples.



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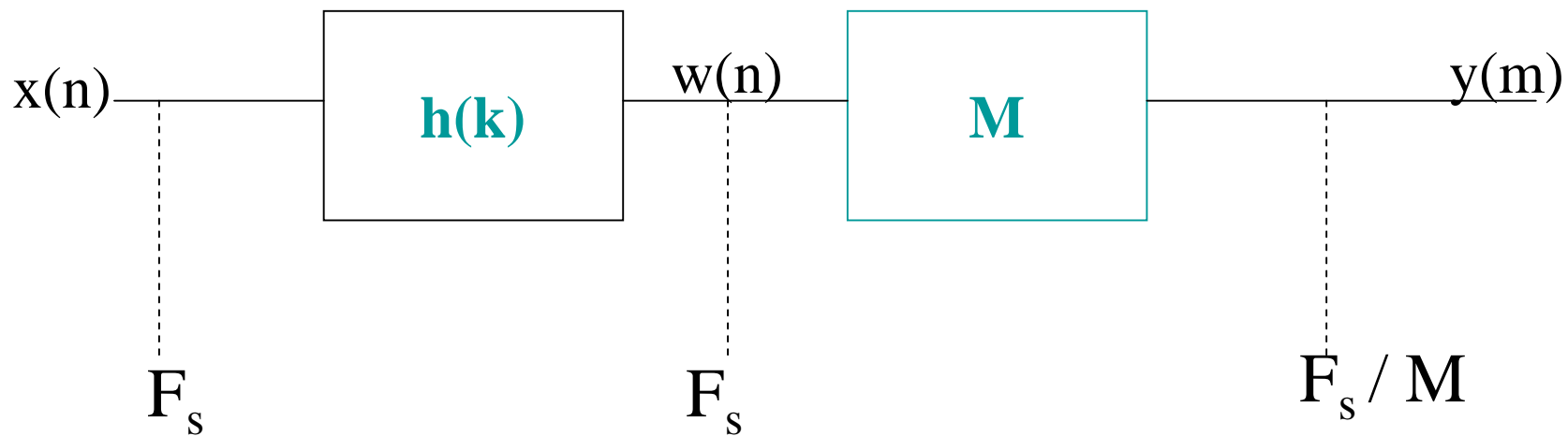


$$M = 3$$



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This combined operation of **filtering** and **down sampling** is called as **DECIMATION**



**Block diagram of Decimator**

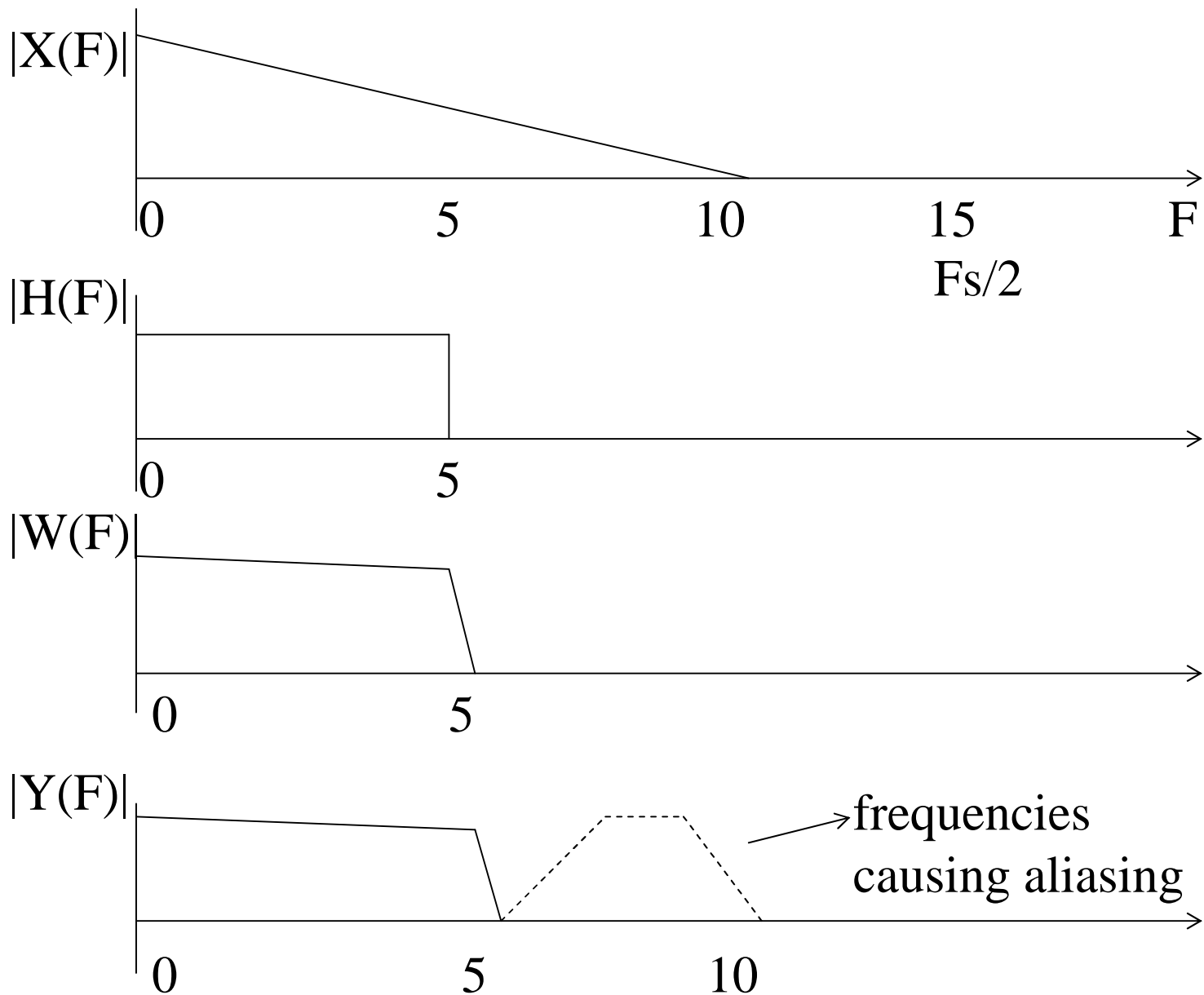
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The rate compressor reduces the sampling rate from  $F_s$  to  $F_s / M$ .

To prevent aliasing at lower rate, digital filter is used to band limit the i/p signal to less than  $F_s / 2M$ .  
(new folding frequency)

Sampling rate reduction is achieved by discarding  $M-1$  samples for every  $M$  samples of filtered signal  $w(n)$

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## Spectral interpretation of decimation from 30 to 10 Hz

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I/P O/P relationship :

$$y(m) = w(mM) = \sum_{k=-\infty}^{\infty} h(k)x(mM-k)$$

where

$$w(n) = \sum_{k=-\infty}^{\infty} h(k)x(n-k)$$

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Here  $x(n-n_0) \neq y(n-n_0)$

Filtering operation is linear & time invariant,  
But downsampling is not.

Therefore DECIMATION is a time variant operation

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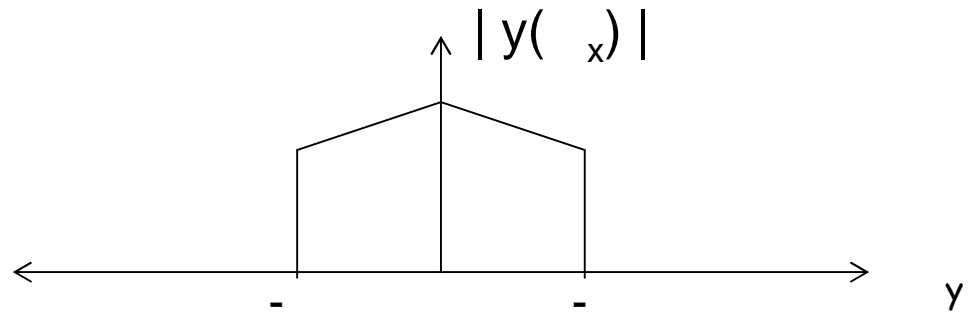
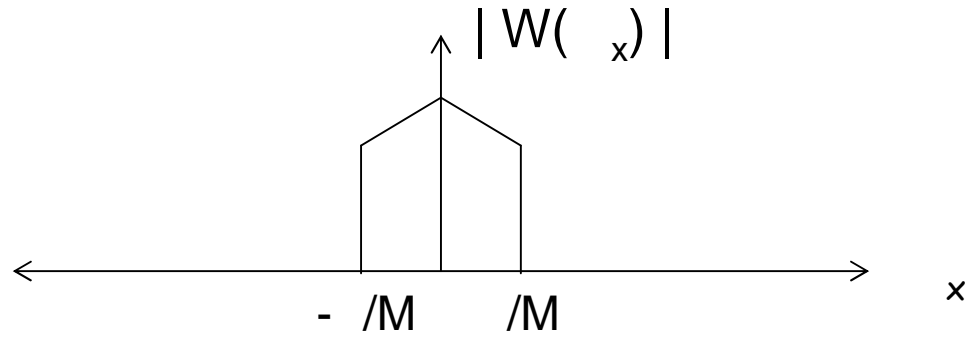
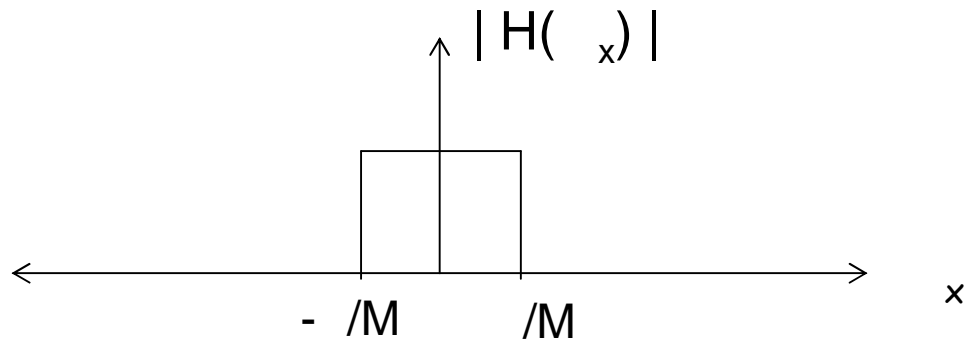
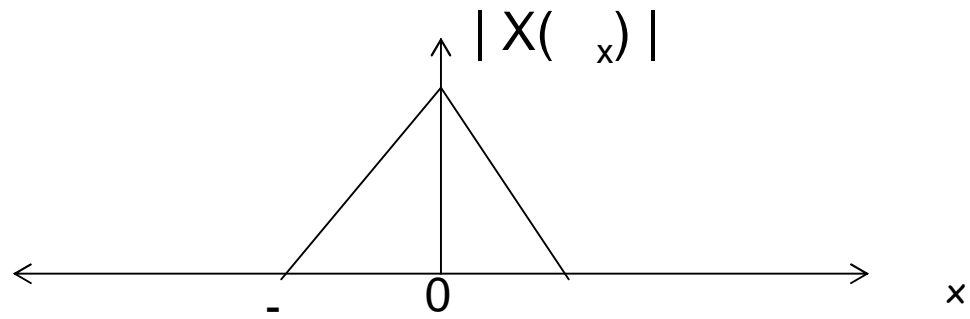
# Frequency domain characteristics

Let o/p sampling frequency be  $F_y$  or  $\omega_y$   
I/p sampling frequency be  $F_x$  or  $\omega_x$   
Decimation factor be  $M$

$$\frac{\omega_y}{\omega_x} = M \quad \text{or} \quad \omega_y = \omega_x M$$

Thus frequency range  $0 \leq |\omega_x| \leq \pi/M$   
is stretched into corresponding  
Frequency range of  $0 \leq |\omega_y| \leq \pi$

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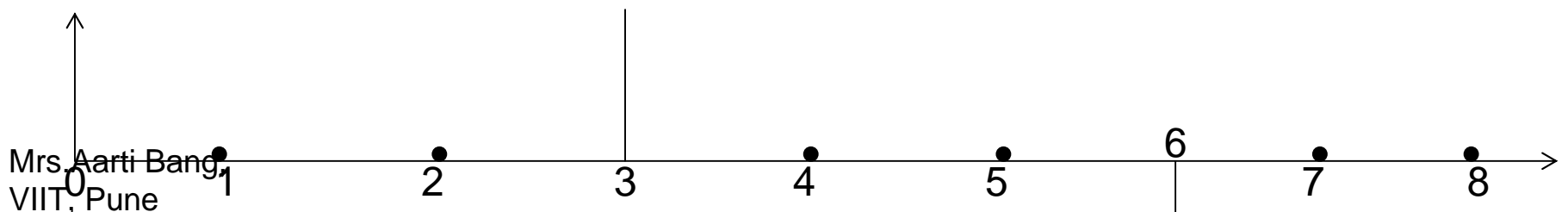
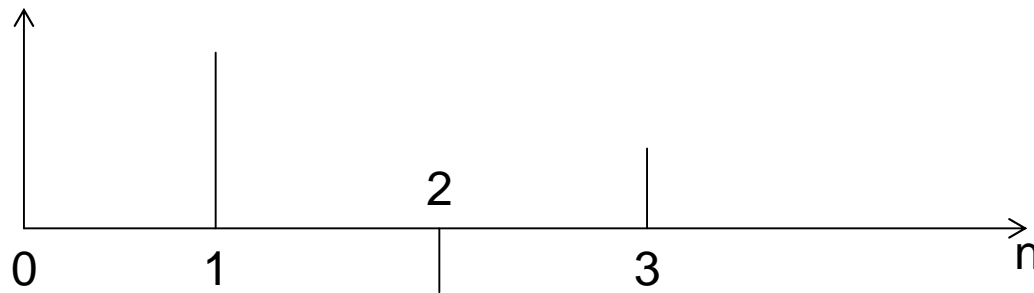


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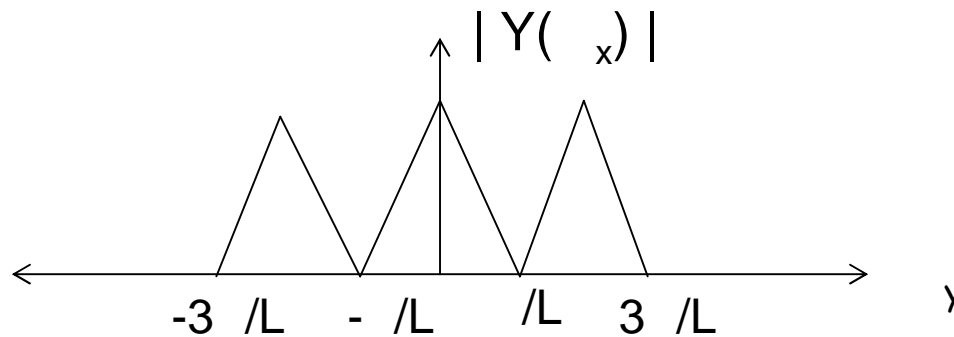
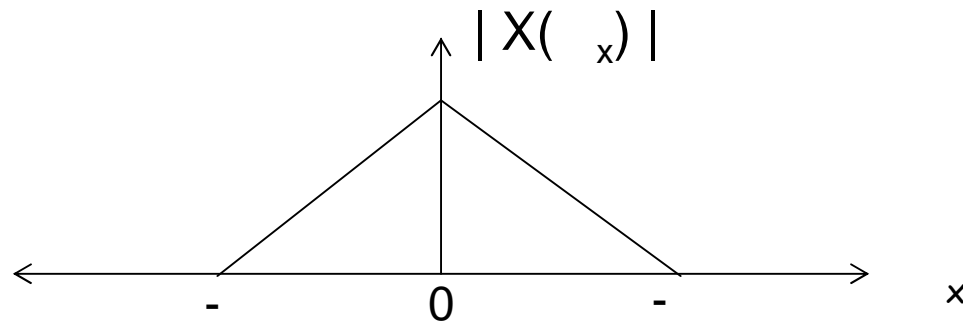
# INTERPOLATION

Process of increasing the sampling rate of the signal by a factor of  $L$  i.e. from  $F_s$  to  $LF_s$

Upsampling by a factor  $L \rightarrow$  inserting  $L-1$  zeros between two samples



Inserting zeros create image bands.



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# Frequency domain characteristics

Let o/p sampling frequency be  $F_y$  or  $\omega_y$   
I/p sampling frequency be  $F_x$  or  $\omega_x$   
Interpolation factor be  $L$

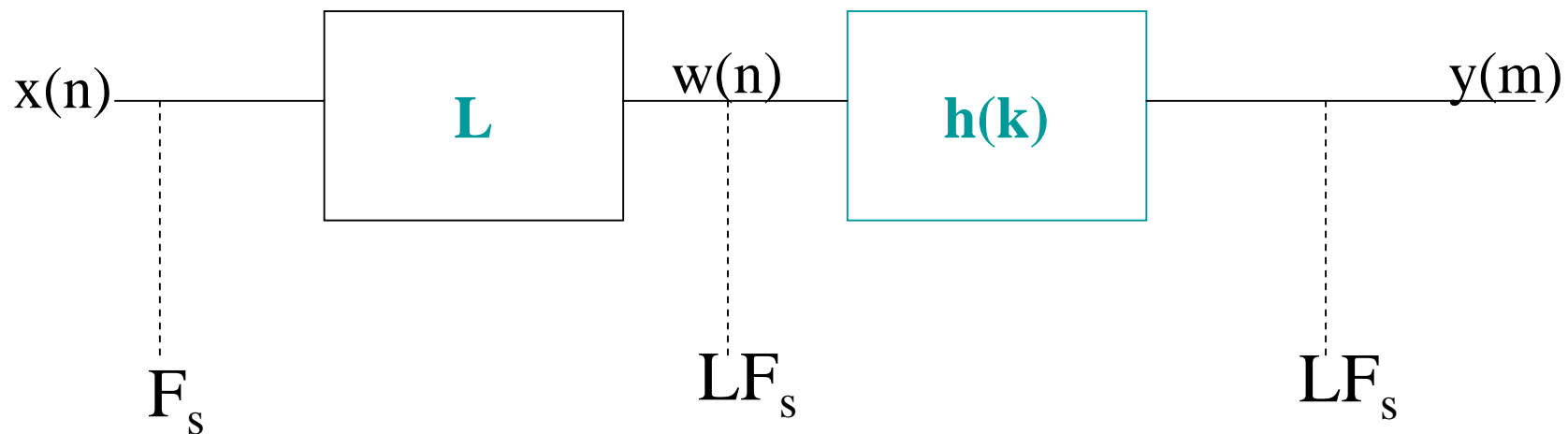
$$\omega_y = \frac{\omega_x}{L}$$

Thus frequency range  $0 \leq |\omega_x| \leq \pi$   
is compressed into corresponding  
Frequency range of  $0 \leq |\omega_y| \leq \pi/L$   
and the o/p spectrum is  $L$  fold periodic repetition  
of i/p spectrum.

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Spectral components of  $x(n)$  in the range  
 $0 \leq |\omega_y| \leq \pi/L$  are unique

Images above  $\omega_y = \pi/L$  are to be rejected by filtering



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Decimation = Filtering + Downsampling  
(antialiasing)

Interpolation = Upsampling + Filtering  
(antiimaging)

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# Sampling rate conversion

by

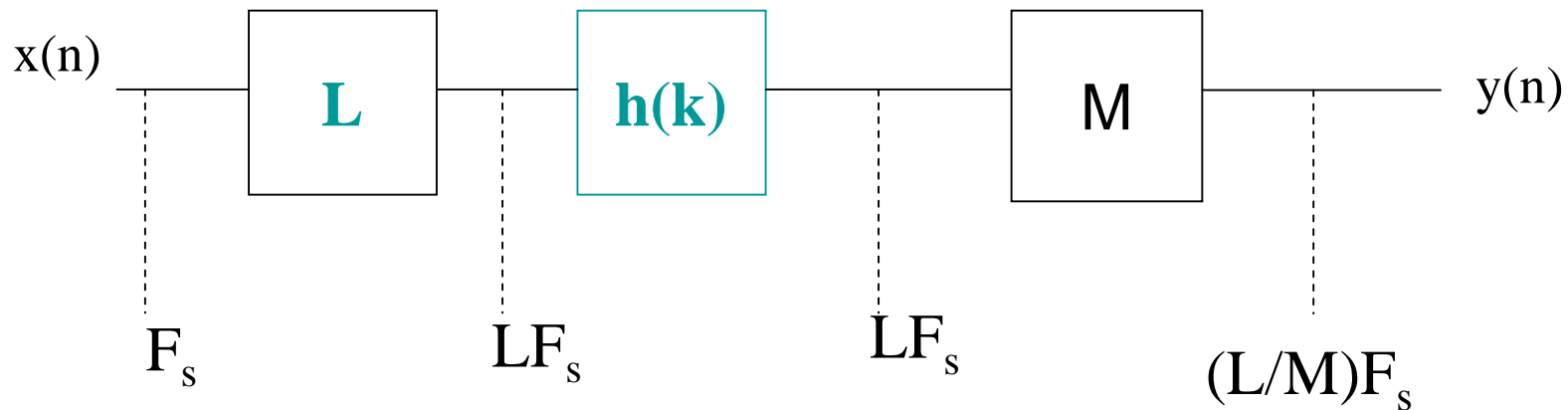
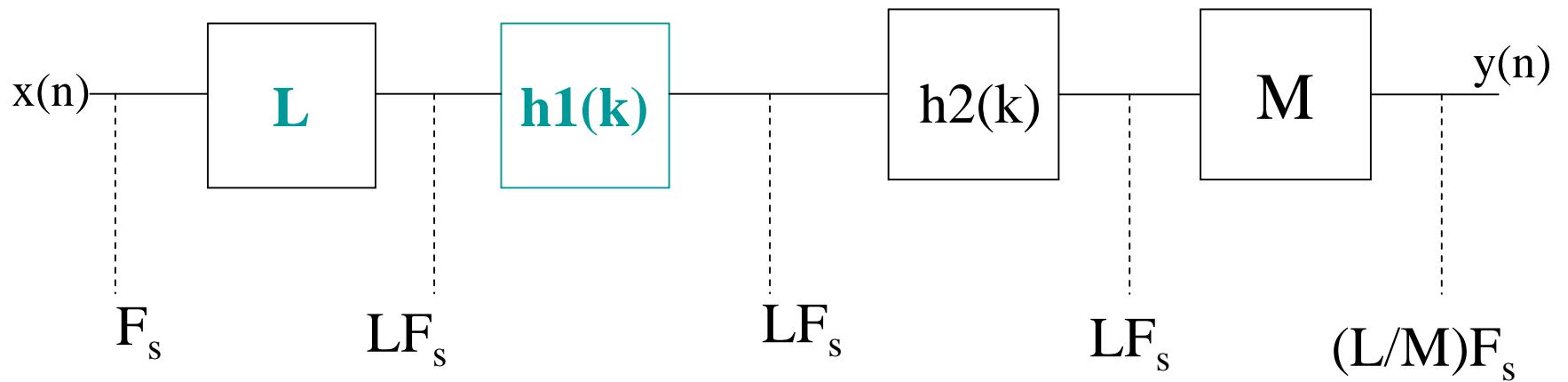
## Rational / Non Integer factor

Transferring data from Compact Disc to Audio Tape

44.1 KHz → 48 KHz

$$\frac{48}{44.1} = \frac{160}{147} = \frac{L}{M}$$

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## Specifications of antiimaging filter

$$\begin{aligned} |H(e^{j\omega})| &= L & |\omega| \leq \pi/L \\ 0 & & \pi/L \leq |\omega| \leq \pi \end{aligned}$$

## Specifications of antialiasing filter

$$\begin{aligned} |H(e^{j\omega})| &= 1 & |\omega| \leq \pi/M \\ 0 & & \pi/M \leq |\omega| \leq \pi \end{aligned}$$

# Specifications of combined filter

$$\begin{aligned} |H(e^{j\omega})| &= L & |\omega| \leq (\min \pi / M, \pi / L) \\ &0 & \textit{otherwise} \end{aligned}$$

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# Multistage approach to sampling rate conversion

High sampling rate conversion →  
Efficient to change rate in more no. of stages

$$M = M_1 M_2 M_3 \dots M_l$$

Reduced computational effort and  
storage requirements

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## Estimation of Filter Order ( M ) :

Kaiser's formula:

$$M = \frac{-10 \log_{10} (\delta_p \delta_s) - 13}{14.6 \Delta f}$$

or

$$M = \frac{-10 \log_{10} (\delta_p \delta_s) - 13}{14.6 (\omega_{st} - \omega_p) / 2\pi}$$

M is inversely proportional to transition bandwidth (  $\omega_s - \omega_p$  )  
and not on transition band location.

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## Estimation of Filter Order ( M ) :

- **Hermann-Rabiner-Chan's Formula:**

$$M \cong \frac{D_{\infty}(\delta_p, \delta_s) - F(\delta_p, \delta_s)[(\omega_s - \omega_p) / 2\pi]^2}{(\omega_s - \omega_p) / 2\pi}$$

where

$$D_{\infty}(\delta_p, \delta_s) = [a_1(\log_{10} \delta_p)^2 + a_2(\log_{10} \delta_p) + a_3] \log_{10} \delta_s \\ + [a_4(\log_{10} \delta_p)^2 + a_5(\log_{10} \delta_p) + a_6]$$

$$F(\delta_p, \delta_s) = b_1 + b_2[\log_{10} \delta_p - \log_{10} \delta_s]$$

with

$$a_1 = 0.005309, a_2 = 0.07114, a_3 = -0.4761$$

$$a_4 = 0.00266, a_5 = 0.5941, a_6 = 0.4278$$

$$b_1 = 11.01217, b_2 = 0.51244$$

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# Filter specifications for each stage

Passband  $0 \leq F \leq F_p$

Stopband  $(F_i - F_s/2M) < F < F_{i-1}/2 \quad i = 1, 2, \dots, l$

Passband ripple  $p$

Stopband ripple  $s$

where  $F_i \rightarrow$  o/p sampling frequency of  $i^{\text{th}}$  stage

$F_{i-1} \rightarrow$  i/p sampling frequency of  $i^{\text{th}}$  stage

$F_s \rightarrow$  original sampling frequency

$F_p \rightarrow$  highest frequency of interest

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# Determining the no. of stages and decimation factors

Least computational effort  $\rightarrow$  Optimum no. of stages

$$MPS = \sum_{i=1}^I N_i F_i$$

$$TSR = \sum_{i=1}^I N_i$$

where  $N_i$  is the no. of filter coefficients per stage

MPS is no. of Multiplications Per Second

TSR is Total Storage Requirement for coefficients

# Advantages of Multistage design:

- Multistage design yields significant reductions in computation & storage requirements compared to single stage.
- Reductions are due to wide transition bands of filters at early stages (even though sampling rates are high) leading to small values of  $N$ .
- In the last stage though the transition band is small, the sampling rate is also low, hence filter order ( $N$ ) is also small as compared to single stage decimation.

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